

ANTITRUST STATEMENT

Adopted by the HESI Board of Trustees

June 12, 2018

This policy has been adopted by the Board of Trustees of the Health and Environmental Sciences Institute (HESI). It applies to all staff, trustees, advisors, and members of HESI.

HESI is a scientific and charitable organization whose mission is to collaboratively identify and help to resolve global health and environment challenges through the engagement of scientists from academia, government, industry, NGOs, and other strategic partners. All activities and discussions connected with HESI should be directed to promoting understanding and resolution of significant scientific challenges in human and environmental health and safety.

No activity or discussion at any meeting of HESI or other function may be engaged in for the purpose of bringing about any understanding or agreement among members to (a) raise, lower, or stabilize prices; (b) regulate production; (c) allocate markets; (d) encourage boycotts; (e) foster unfair trade practices; (f) assist monopolization, or (g) in any way violate applicable antitrust, anti-monopoly, or competition laws.

HESI meetings shall not be occasions where participants:

1. Discuss prices or pricing policies, or any marketing policy with a direct or indirect effect on pricing or any other terms of sale;
2. Confer about division or allocation of sales territories or customers;

3. Establish blacklists or boycotts of suppliers, purchasers, or competitors;
4. Coerce members to implement particular programs or policies;
5. Resolve problems unique to a single member or a small, select group of members;
6. Exchange or disseminate information relating to costs of production, distribution, or marketing or
7. Conduct or plan any lobbying activities.

Any questions regarding the meaning or applicability of this policy, as well as any concerns regarding activities or discussions at HESI meetings, should be promptly brought to the attention of the Chair of the HESI Board of Trustees or HESI's legal counsel.

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Code of Ethics - Adopted by the HESI Board of Trustees - June 12, 2018

The Health and Environmental Sciences Institute (HESI) is committed to generating science for a safer and more sustainable world. We believe that achieving this goal requires open, active, and ethical collaborations involving scientists with diverse technical and professional expertise. Our collaborators share a commitment to producing science for public benefit and a mutual respect for human, animal, and environmental health and safety.

We understand that HESI's scientific credibility and impact is the product of the high ethical standards of our members and our staff. As such, we commit ourselves to pursuit of the following HESI values:

- **Collaboration**: Respectful interactions that recognize differences and leverage synergies in perspective, approach, and interpretation of scientific issues are core to HESI's mission. By supporting this diversity and interaction, we enrich HESI's ability to generate relevant and rigorous science.
- **Respect**: HESI is committed to a safe and collegial work environment, so that all HESI activities take place in a climate of understanding and mutual respect for the dignity and worth of each individual.
- **Transparency**: Access to quality information and contemporary methods is essential to public and environmental health decision-making. All of HESI's scientific programs are committed to the generation of robust and publicly-accessible resources and activities.
- **Independence**: In the context of HESI's programs and efforts, all HESI members must act in the interest of HESI's mission to generate science with a public benefit for improved human and environmental health. We understand that HESI does not promote legislative policy changes or advocate for individual stakeholders or products.
- **Integrity**: HESI's scientific programs and organizational activities will be conducted to the highest standards of ethical conduct and scientific rigor. As HESI members and staff, we will exhibit a respect for laws, regulations, ethical standards for human and animal research, and for our colleagues around the world. We will adhere to HESI's operating policies with regard to disclosing conflicts and solely to produce science for public benefit.
- **Opportunity**: HESI provides equal opportunity and equal consideration to all members and staff without regard to sex, gender identity or expression, race, color, national or ethnic origin, religion or religious belief, age, marital status, sexual orientation, disabilities, or veteran status.

As these principles are fundamental to HESI's mission, we request that any concerns regarding potential non-compliance be forwarded to HESI's legal counsel (ssullivan@hesiglobal.org)

