

# Clinical aspects of Chemical Respiratory Allergy and Occupational Asthma

Susan M Tarlo MB BS FRCP(C)  
Toronto Western Hospital,  
St Michael's Hospital,  
Gage Occupational and Environmental  
Health Unit  
University of Toronto Dept. Med  
and Dalla Lana School of Public Health

# Disclosures

Previous grant support received from:

Ontario WSIB,

AllerGen NCE,

WorkSafe BC

CREOD (Centre for research expertise in occupational diseases)

Clinical practice includes assessment of patients referred from WSIB and patients referred for IMEs

# The occupational contribution to asthma

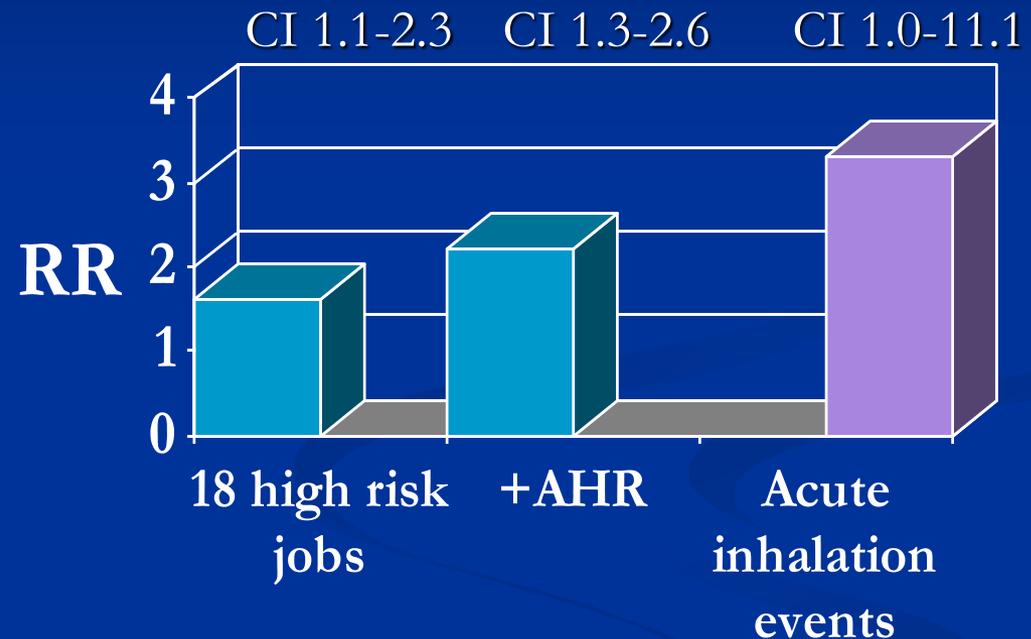
Canadian communities, population attributable risk in high risk jobs and exposures **18.2%** (Johnson et al AJRCCM 2000)

ATS statement: median **15%** population attributable risk from occupation (4%-58%) (AJRCCM 2003)

Toren K, Blanc PD. A systematic analysis ('99-'07) of estimates of the population-attributable fraction **17.6%**. BMC Pulm Med. 2009;9:7

# Occupational Asthma: a significant component of new-onset asthma (~7000 subjects) Kogevinas et al Lancet 2007

- PAR for new adult asthma from occupation 10-25%,  $\equiv$  250-300 cases/million workers/year



- Contrasts with *confirmed* clinical cases of OA ~15/million/y in Quebec (Malo and Gautrin Lancet '07), 20-30 in France, UK, USA, – reasons unclear – may reflect epi vs clinical “diagnoses” + likely missed clinical cases

# Occupational Asthma: a significant component of new-onset asthma (population study, ~7000)

Kogevinas et al Lancet 2007

- PAR for new adult asthma from occupation 10-25%,  $\equiv$  250-300 cases/million workers/year
- *Geographic differences*: highest PAR was in southern Europe, 23%, (95% CI 0–43). Risk in central Europe 12%, (0–25) and northern Europe 6%, (0–22).
- Highest risks were in cleaning and nursing

# Work Related Asthma

- OA – usually new-onset asthma, caused by work exposures
- WEA (also termed work-aggravated asthma)
  - Pre-existing or concurrent asthma (transiently) worsened by work exposures, e.g. dusts, fumes, sprays, cold air, or by common allergens at work such as dust mite/cats: **i.e. not an expected manifestation of chemical allergy but can mimic this**
  - It may range from a single short acute episode to daily symptoms at work.

Chest 2008  
ACCP  
Consensus  
Statement

Work-related asthma  
(WRA)

```
graph TD; WRA[Work-related asthma (WRA)] --> OA[Occupational asthma, caused by work (OA)]; WRA --> WEA[Work-exacerbated asthma (WEA)]; OA --> Sensitizer[Sensitizer-induced OA]; OA --> Irritant[Irritant-induced OA (Including RADS)];
```

Occupational  
asthma,  
caused by work  
(OA)

Work-exacerbated  
asthma  
(WEA)

Sensitizer-induced  
OA

Irritant-induced OA  
(Including RADS)

These groupings are not mutually exclusive; e.g. OA can be followed by WEA

Chest 2008  
ACCP  
Consensus  
Statement

Work-related asthma  
(WRA)

```
graph TD; WRA[Work-related asthma (WRA)] --> OA[Occupational asthma, caused by work (OA)]; WRA --> WEA[Work-exacerbated asthma (WEA)]; OA --> Sensitizer[Sensitizer-induced OA]; OA --> Irritant[Irritant-induced OA (Including RADS)];
```

Occupational  
asthma,  
caused by work  
(OA)

Work-exacerbated  
asthma  
(WEA)

Sensitizer-induced  
OA

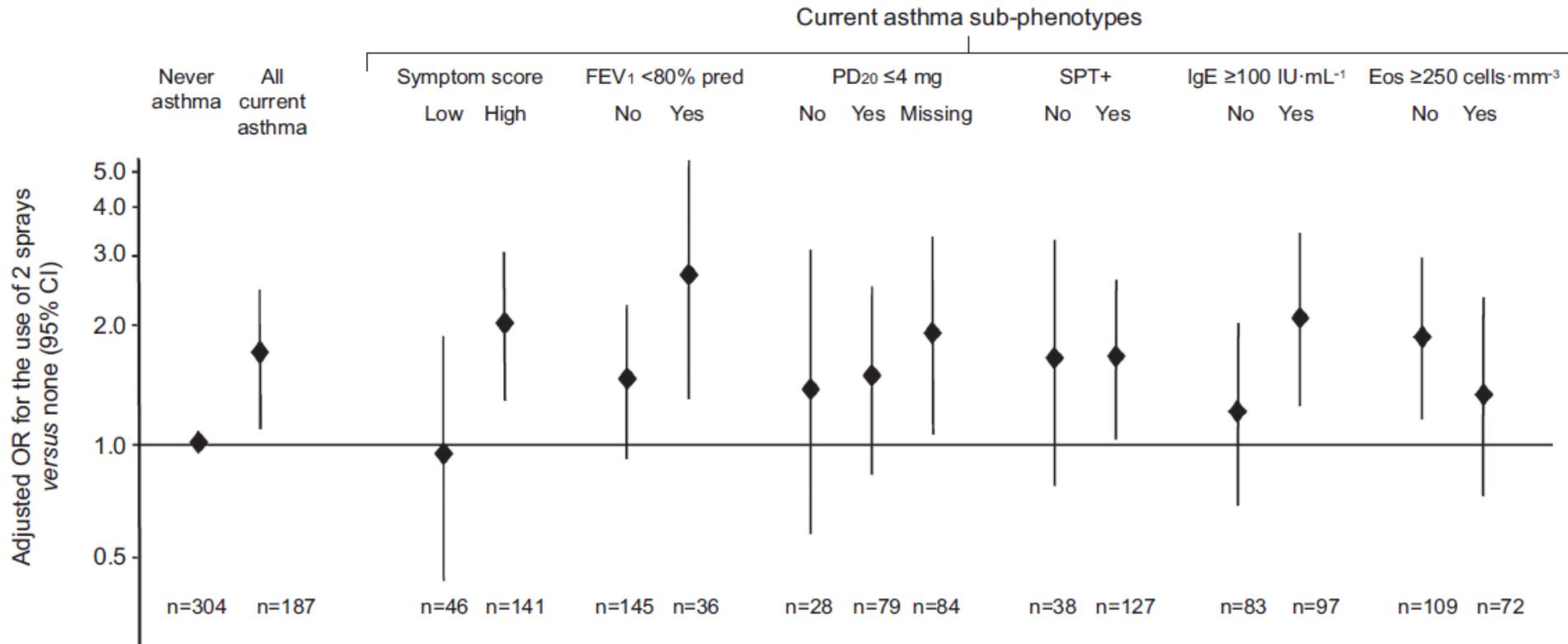
Irritant-induced OA  
(Including RADS)

These groupings are not mutually exclusive; e.g. OA can be followed by WEA

# Irritant-induced Occupational Asthma

- RADS: new-onset asthma within 24 hours of a very high irritant exposure at work (similar effect can occur with exposures in home or community) - cases of IIA may not meet all RADS criteria
- Risk of new-onset asthma also seen in epi studies with chronic exposures to “lower level irritants” e.g., cleaning products, air fresheners, dusts and swimming pool chemicals.
  - True incidence/prevalence unclear.
  - Raise concerns with common exposures work and home.

# Le Moual et al., ERJ 2012. Domestic use of cleaning sprays and asthma in 683 females (EGEA study)



Effects reviewed in Siracusa et al : EAACI Task Force Statement, Asthma and exposure to cleaning products. *Allergy*. 2013;68:1532–1545

Work-related asthma  
(WRA)

```
graph TD; WRA[Work-related asthma (WRA)] --> OA[Occupational asthma, caused by work (OA)]; WRA --> WEA[Work-exacerbated asthma (WEA)]; OA --> Sensitizer[Sensitizer-induced OA]; OA --> Irritant[Irritant-induced OA (Including RADS)];
```

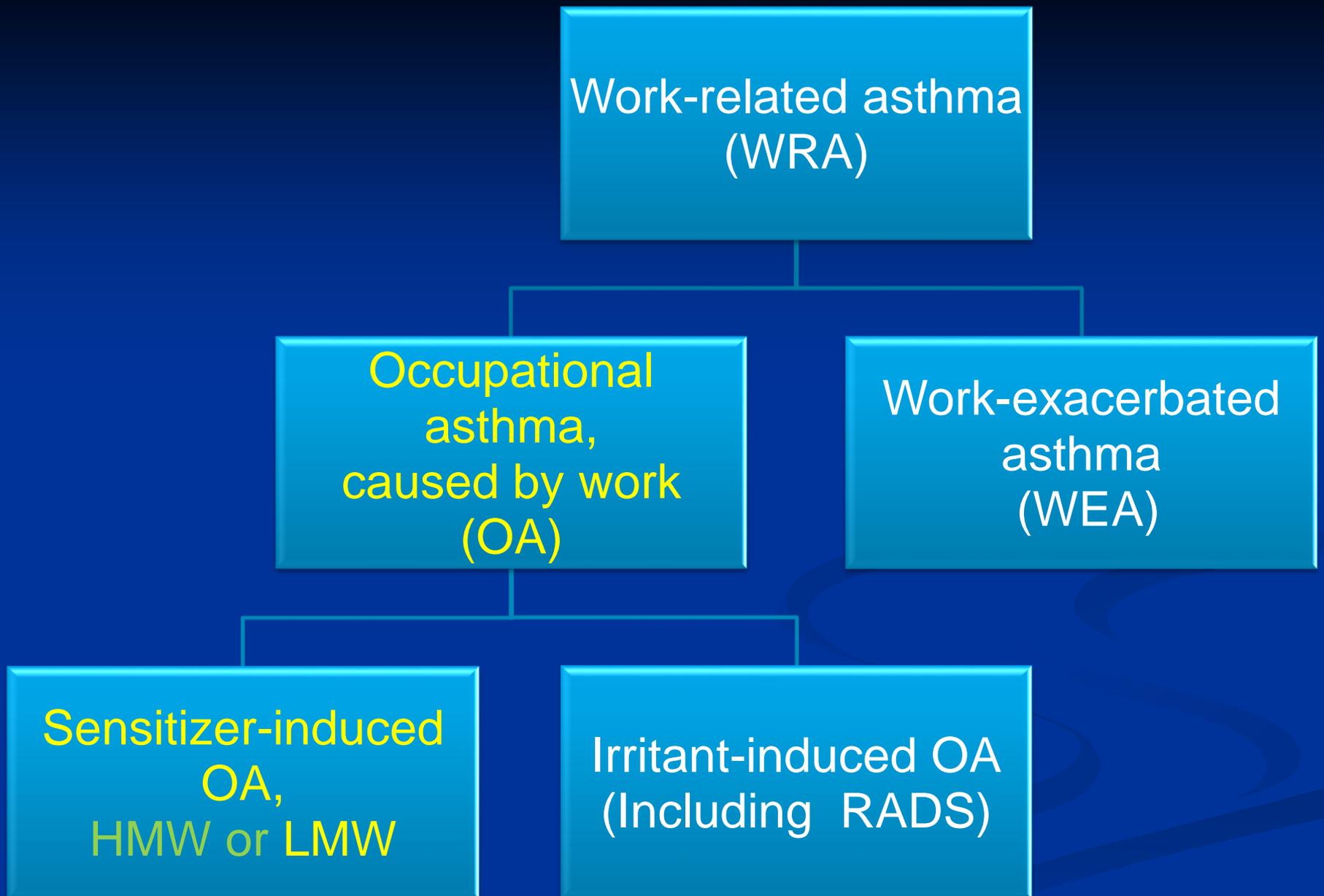
Occupational  
asthma,  
caused by work  
(OA)

Work-exacerbated  
asthma  
(WEA)

Sensitizer-induced  
OA

Irritant-induced OA  
(Including RADS)

These groupings are not mutually exclusive; e.g. OA can be followed by WEA



These groupings are not mutually exclusive; e.g. OA can be followed by WEA

**Work-related  
eosinophilic  
bronchitis  
(induced  
sputum)**

**Work-related asthma  
(WRA)**

**Occupational  
asthma,  
caused by work  
(OA)**

**Work-exacerbated  
asthma  
(WEA)**

**Sensitizer-induced  
OA,  
HMW or LMW**

**Irritant-induced OA  
(Including RADS)**

These groupings are not mutually exclusive; e.g. OA can be followed by WEA

# Occupational Asthma (OA)

OA = Asthma due to causes and conditions which are attributable to a particular workplace environment and not to stimuli encountered outside the workplace:

Sensitizer-induced (approx 95% in most case series)

- a) High-molecular-weight
- b) Low-molecular weight – chemical sensitizer

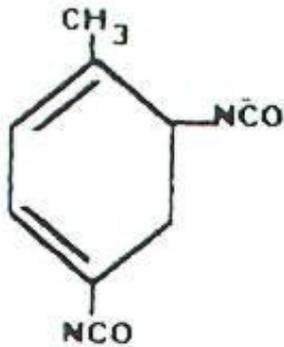
- features of a latency period
- only a minority of exposed workers affected (usually <5%, depending on exposure levels)
- once sensitized, small exposures exacerbate asthma

# Sensitizer-induced OA

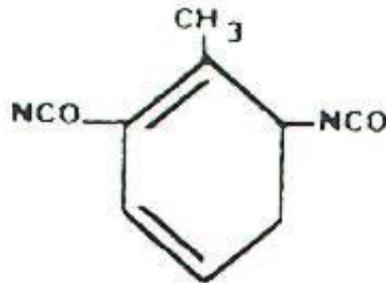
- Over 300 causes known ([www.asmanet.com](http://www.asmanet.com))
- Recent review: Baur, X. and P. Bakehe, *Allergens causing occupational asthma: an evidence-based evaluation of the literature*. Int Arch Occup Environ Health, 2013.
- LMW – reactive chemicals (usually 2 or more reactive side-chains)
- ( HMW sensitizers – almost any inhaled allergen usually proteins – from animals, plants, fungi, enzymes)

# Diisocyanates?

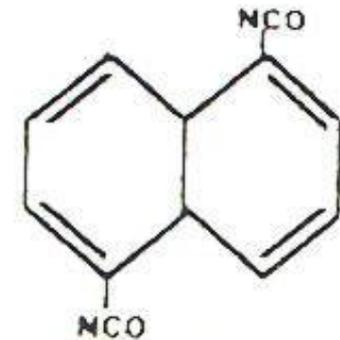
## DIISOCYANATES



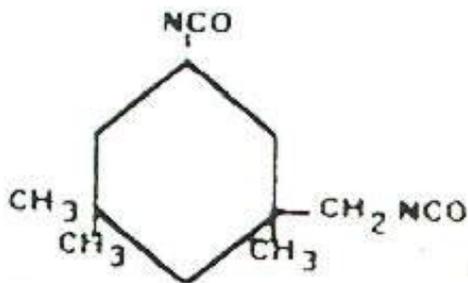
2,4 toluene diisocyanate  
TDI



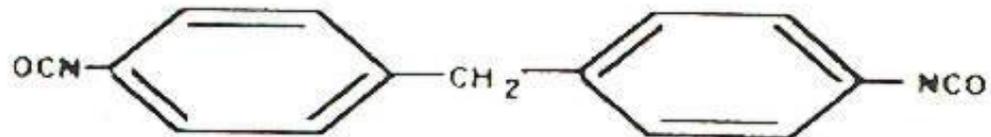
2,6 toluene diisocyanate  
TDI



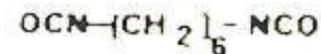
naphthalene diisocyanate  
MDI



isophorone diisocyanate  
IPDI



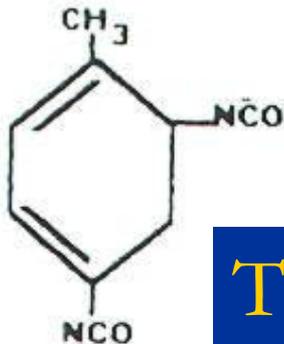
methylene diphenyl diisocyanate  
MDI



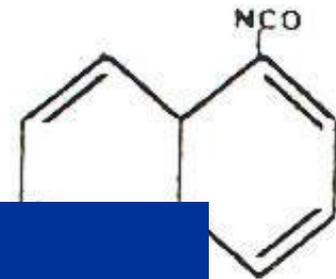
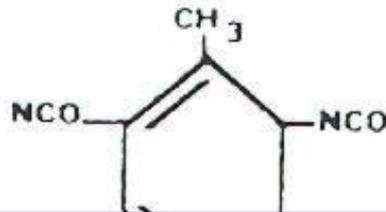
hexamethylene diisocyanate  
HDI

# Diisocyanates?

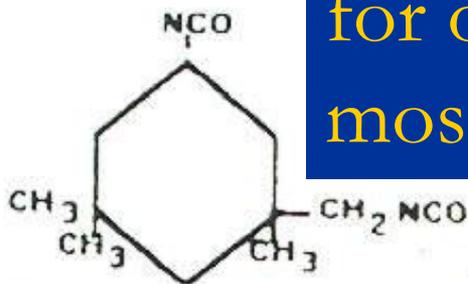
## DIISOCYANATES



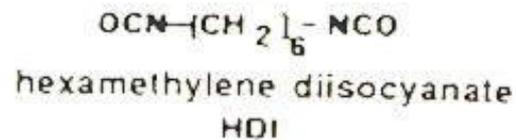
2,4 toluene diisocyanate  
TDI



4,4'-methylenedianiline  
MDI

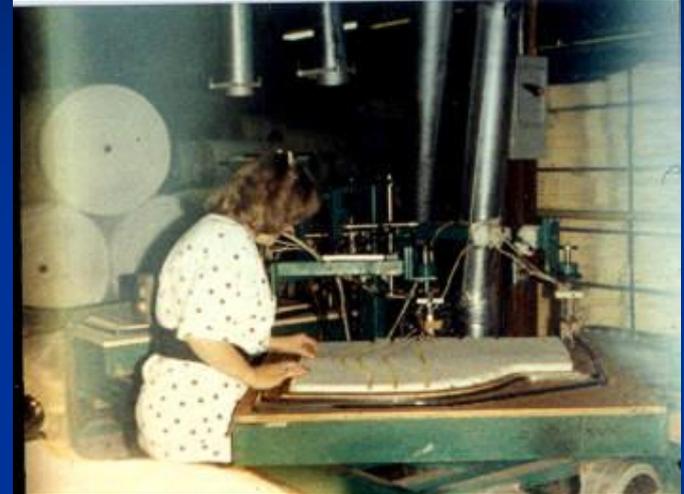


isophorone diisocyanate  
IPDI



They have been the most commonly recognized causes for occupational asthma in most industrialized areas

# Diisocyanates are widely used in industrial areas – traditional uses



Also, glues, spray foam, foundry molds etc etc

# Newer uses

- Spray foam insulation –  
MDI  
Assistants often wear no respiratory protection
- MDI use in production of oriented strand board (with softwood and phenolformaldehyde resin)
- Use in floor sealants (MDI)
- Potential also for bi-stander or consumer exposures



# Sensitizer-induced OA – some other common LMWt causes/exposures (several could also be relevant for consumers)

## ■ Common causes

- Colophony
- Plicatic acid
- Amines
- Acid anhydrides
- Aldehydes
- Quaternary ammonium compounds
- Pharmaceutical products
- Metal salts, e.g., complex Pl salts

## ■ Exposures

- Soldering
- Red cedar workers
- Reactive chemicals
- Plastics, coatings
- Hospital workers, e.g., cleaning endoscopes
- Cleaners
- Manufacturers, health care workers

# ....new causes each year

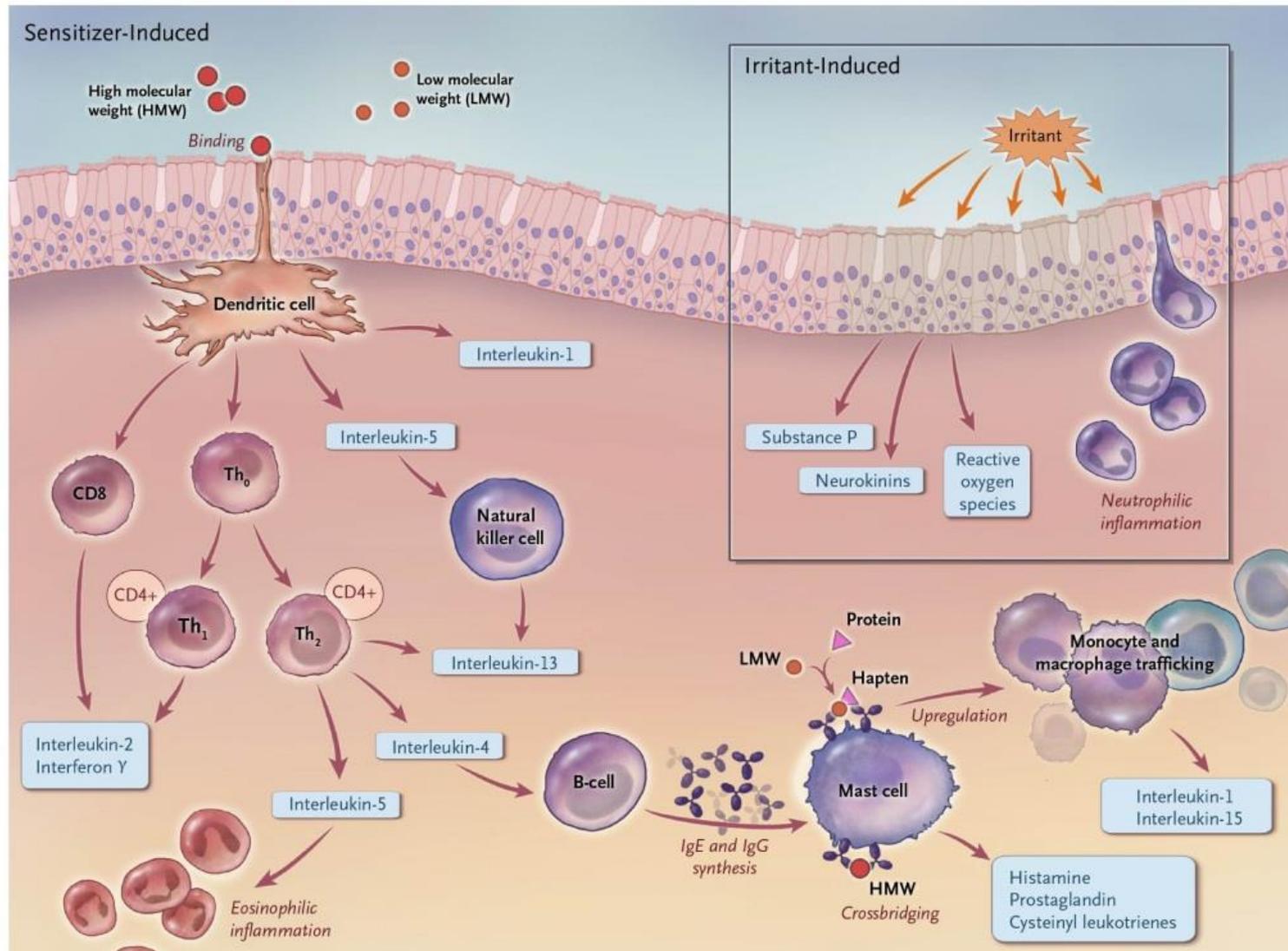
- eugenol, - hairdresser
- Rhodium salts – electroplating
- Limonium tataricum – farmer
- potassium tetrachloroplatinate - production of cytotoxic drugs
- EDTA - cleaners

# Mechanisms of sensitizer-induced OA

- IgE antibody associated mechanisms
  - Most clearly demonstrated for HMW agents (allergens, usually proteins)
  - A few LMW sensitizers are associated with specific IgE, eg complex PI salts, acid anhydrides, diisocyanates
- Non-IgE –associated mechanisms
  - Most LMW sensitizers – mechanisms unknown
  - More likely associated with isolated **late or dual** asthmatic responses
  - May have eosinophilic or neutrophilic inflammatory changes

# 1. Mechanisms of OA

(from Occupational Asthma—medical progress. Tarlo SM, Lemiere C. Occupational Asthma. N Engl J Med 2014; 370:640-649.)



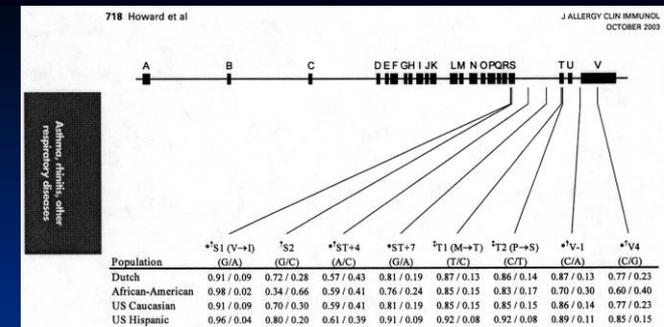
# Risk factors

- Exposure features – airborne exposures
  - higher inhaled exposures have higher risk
  - - skin exposures might sensitize
- Host factors – genetic
  - smoking
  - atopy

# Genetic

## Difficulties

- May vary with specific sensitizer
- Can be more than one mechanism per sensitizer
- Small numbers
- Need proven phenotype
- Need control group with similar exposures, and asthma control group
- Likely polygenic

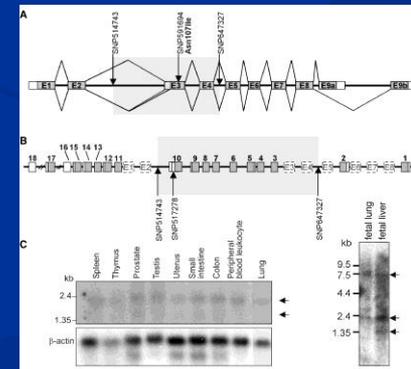


# Diisocyanate genetic associations

- Variants in antioxidant genes and associated with genes that control catenins (involved in cell-cell adhesions) and genes related to TH2 responses.

(Bernstein et al *Annals of Allergy*, 2006

Bernstein et al *JACI* 2011)



# Clinical presentation

- Development of asthma symptoms in a worker: episodic dry cough, wheeze, retrosternal chest tightness, shortness of breath
- Symptoms are associated with work exposure: timing with work exposures may be immediate, late or dual (i.e. at work or after work)
- Typically improve on weekends off work and/or on holidays away from work and worsen when back at work
- $\pm$  Exposure to a known sensitizer at work

Other conditions can mimic asthma, and ~50% of specific challenges in those with suspected OA are negative emphasizing need for objective tests.

# Diagnostic pathway

(from ACCP Consensus 2008)

- In patients with a hx of possible OA:
- Confirm a diagnosis of asthma objectively
- Review MSDS and any other exposure information
- Skin test where feasible
- PEF and Methacholine responses work and off work, considering possible confounding factors
- Add induced sputum if available
- Consider specific challenges if available, especially if the diagnosis is in doubt

Similar suggestions from European consensus documents

# Objective diagnosis of asthma

- FEV1 pre/post bronchodilator
- Methacholine/histamine challenge
  - sensitive for diagnosis of **asthma** if patient is still working and symptomatic
  - positive findings do not confirm that asthma is work related
  - negative findings do not exclude OA if patient not had recent exposure to the sensitizer
  - occasional reports of normal responsiveness in diisocyanate-OA

# Skin tests / immunologic tests

- Limited skin test extracts commercially available for occupational allergens, especially LMW sensitizers
  - Few are standardized
  - Immunologic sensitization is more common than OA in exposed workers
  - Useful e.g., for complex P1 salts



# In-vitro immunologic tests

- In-vitro immunologic tests only reliable in a few centres and for a few LMW agents.
  - Limited sensitivity or specificity for low molecular-weight sensitizers at present, e.g. diisocyanate (low sensitivity but good specificity), acid anhydrides in research labs.



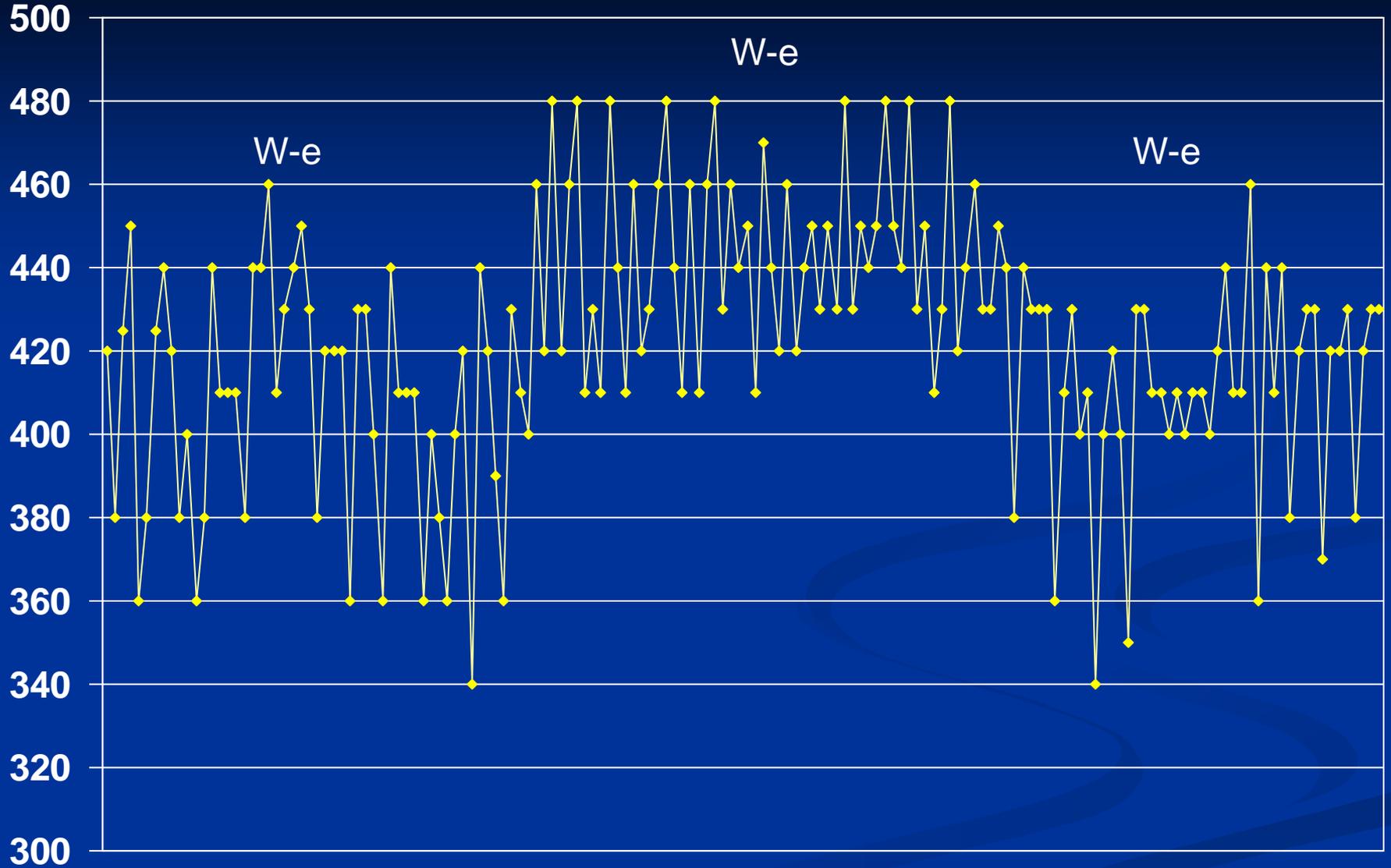
# Objective documentation of work-related changes in asthma

- Serial peak expiratory peak flow monitoring (PEFR) with sx and med diary
  - at least 4x per day, several weeks at work and off work
  - requires careful patient instruction and compliance
  - effort-dependent
  - no generally accepted objective criteria for interpretation
  - by themselves, positive results do not distinguish OA from work-aggravation of asthma



PEFR L/Min

W-e = weekend



← Work (2 weeks) →

Off work

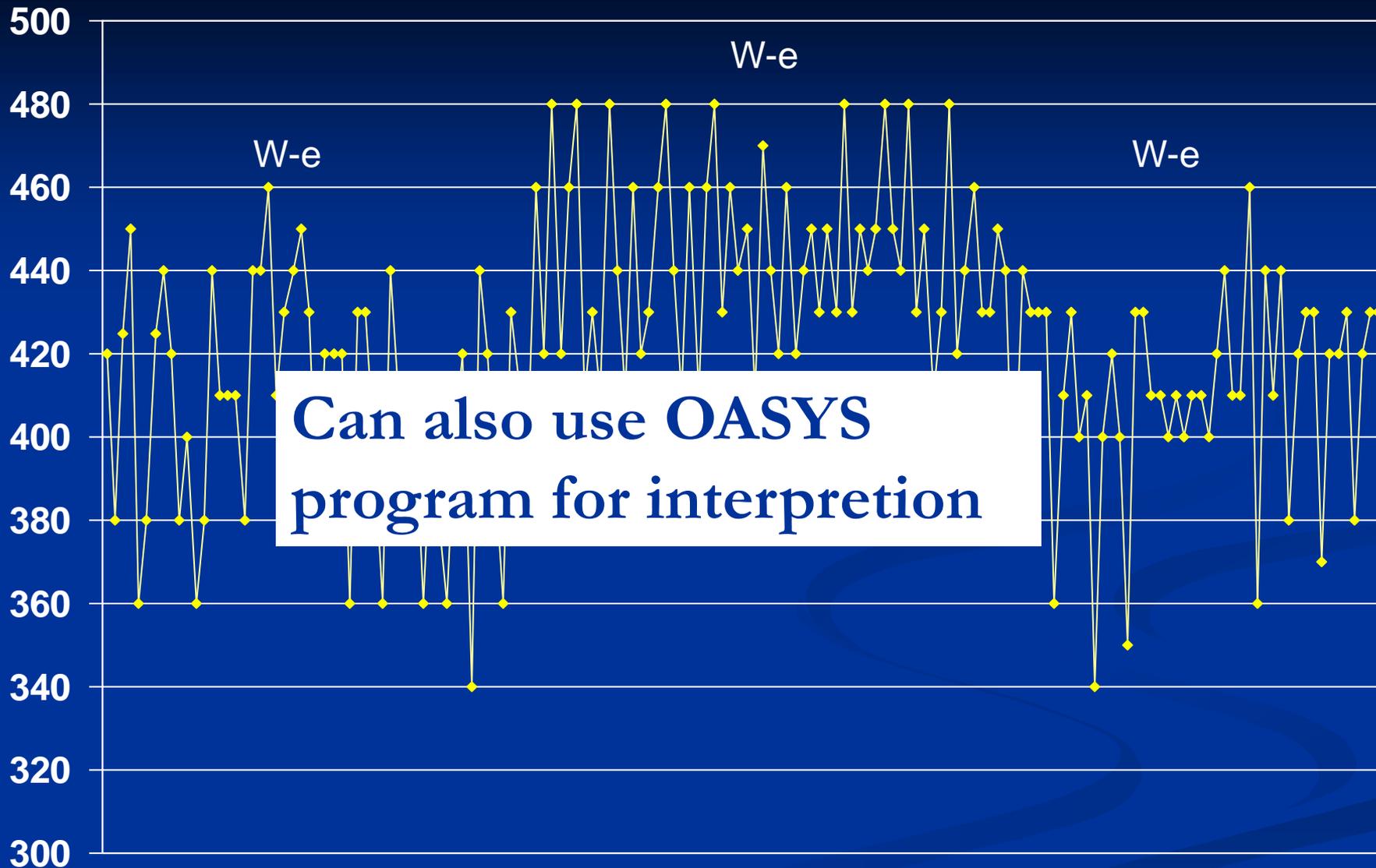
← Work (2 weeks) →

PC<sub>20</sub> 0.9mg/ml

PC<sub>20</sub> 10mg/ml

PEFR L/Min

W-e = weekend



Can also use OASYS program for interpretation

← Work (2 weeks) →

Off work

← Work (2 weeks) →

PC<sub>20</sub> 0.9mg/ml

PC<sub>20</sub> 10mg/ml

# Peak flow interpretation confounders

- Inadequate technique
- Poor compliance with recordings
- Fabrication of results
- Intercurrent cold or non-occupational exposure to asthma triggers
- Work exposures not representative of those causing symptoms
- Changes masked by medications

*Any of these may need further repeat PEFS*

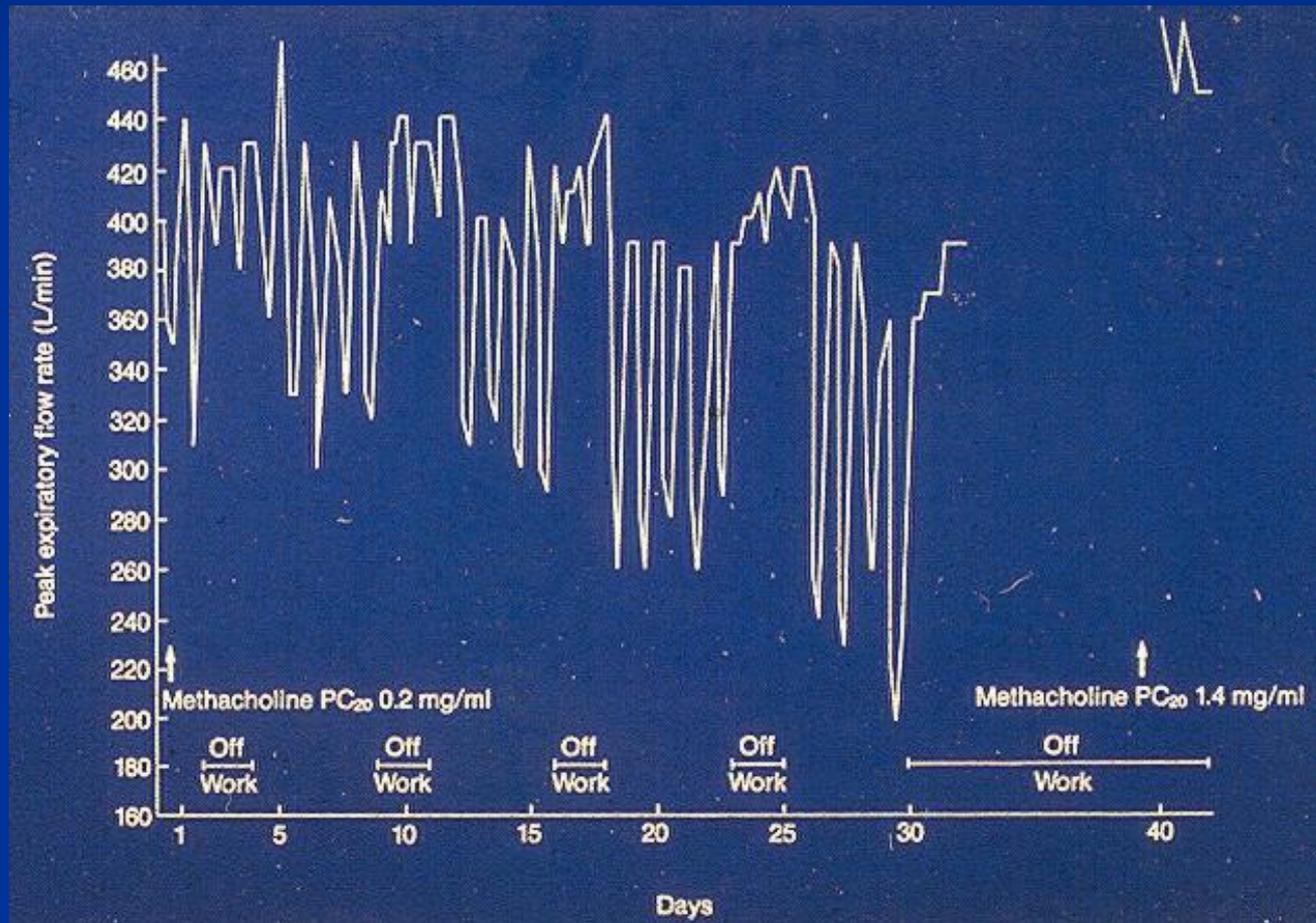
# Methacholine challenges

- Serial inhalation of increasing concentrations of nebulized methacholine
- Spirometry pre- and post- each dose.
- Test is stopped with at least a 20% drop in FEV1
- PC20 calculated (lower = more hyper-responsive, cut-off 8mg/ml, borderline 4-16mg/ml)
- A 3-fold or greater worsening in PC20 at work is significant (if there are no confounding factors)

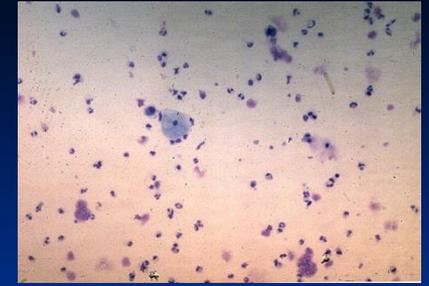
# Methacholine challenge

- A single test is useful to diagnose asthma – with current asthma symptoms/exposures
- Paired tests (one at the end of a work week and one after a period off work) is useful to assess work-related changes (e.g. OA)
- Confounders: lab technique, intercurrent colds or other non-occupational exposures, insufficient time away from work exposure

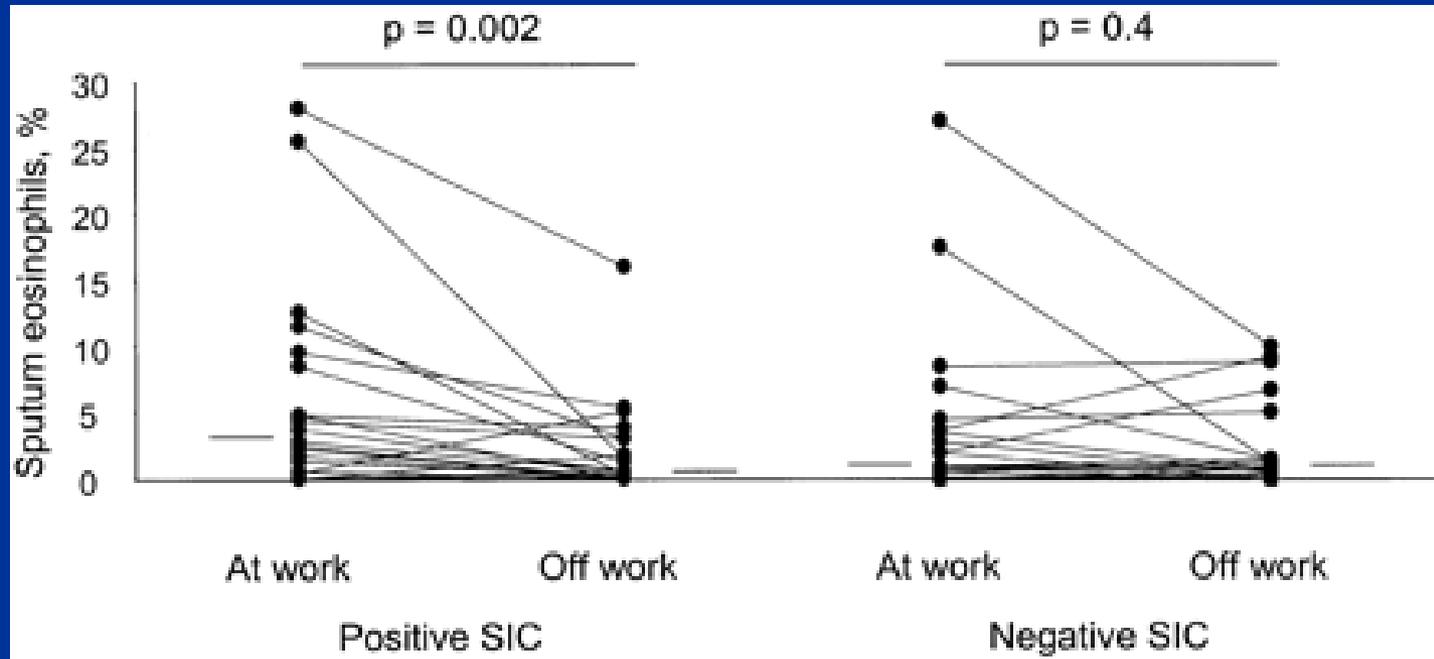
# Peak flows and methacholine responses



# Induced sputum eosinophilia as a diagnostic test (Girard et al AJRCCM '04)



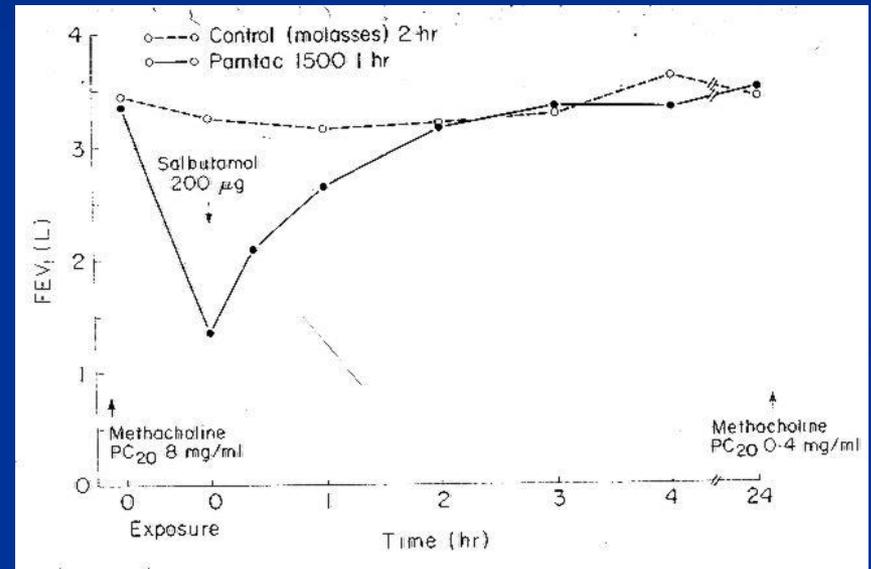
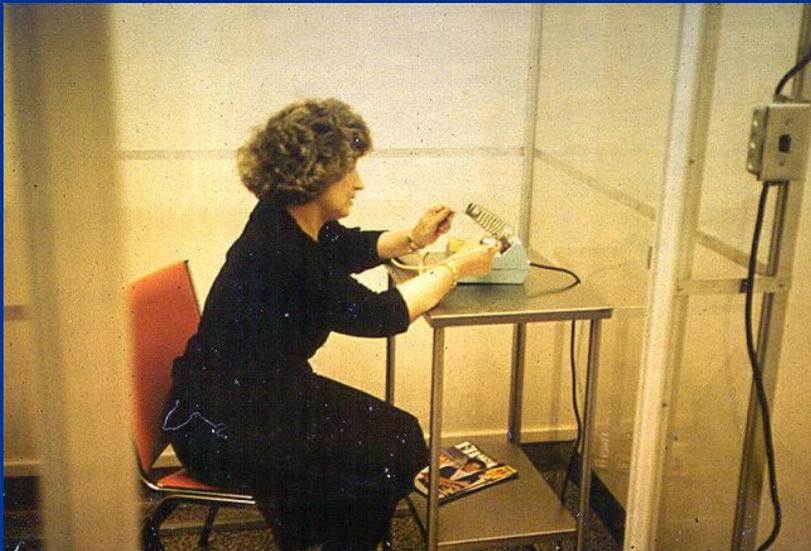
Induced sputum working and off work  
(Confounders include inhaled steroid use, URIs and co-  
incidental allergen exposures)



# Specific laboratory challenges

- Labour-intensive and expensive
- Require specialized facilities with exposure-monitoring as well as prolonged response-monitoring
- Although they have been referred to as a “gold standard”, there are potential false positive and false negative responses
- In some countries, medicolegal concerns regarding positive responses have also limited use

# Laboratory challenges



Recent European Consensus: ERJ 2014, Vandeplass et al

# Management and outcome of OA

- Cochrane review 2011, and a meta-analysis (Vandenplas et al ERJ 2011) both found better medical outcome with removal from exposure and trend to less benefit from reducing exposure
- Asthma improvement is best with early diagnosis when asthma is relatively mild and the worker is removed from further exposure
- Significant socio-psycho-economic impacts are common after the diagnosis, even with workers' compensation support
- Other co-workers should be considered with a “sentinel” diagnosis, to identify cases and prevent others

# Prevention

*Primary – avoidance*

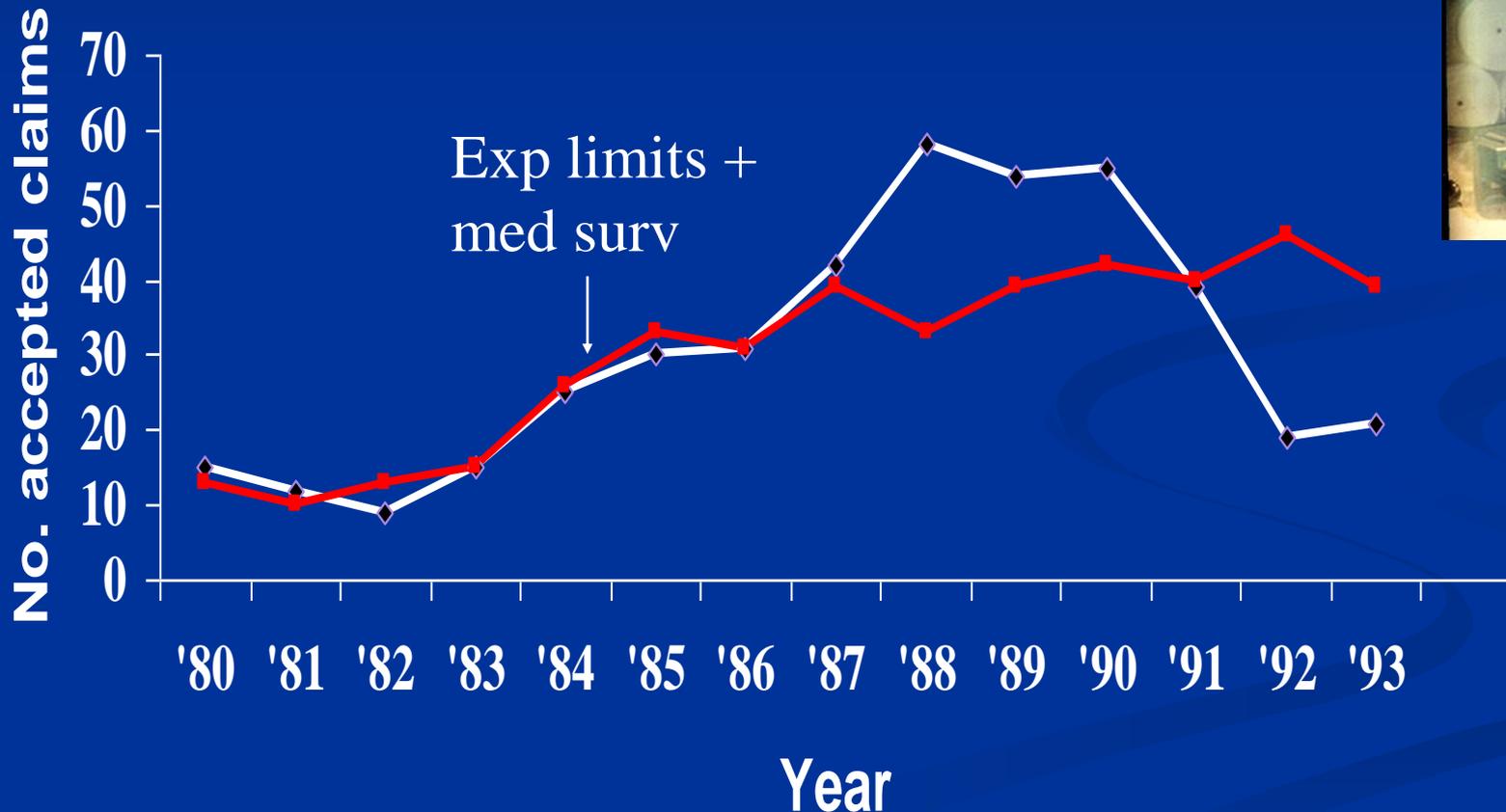
*Secondary – early detection*

*Best outcome for sensitizer-induced OA is with early diagnosis and removal from exposure when asthma is relatively mild (medical surveillance, early identification by worker/ physician)*

*e.g., web-based tool for Canadian workers at <http://lung.ca/workrelatedasthma/>*

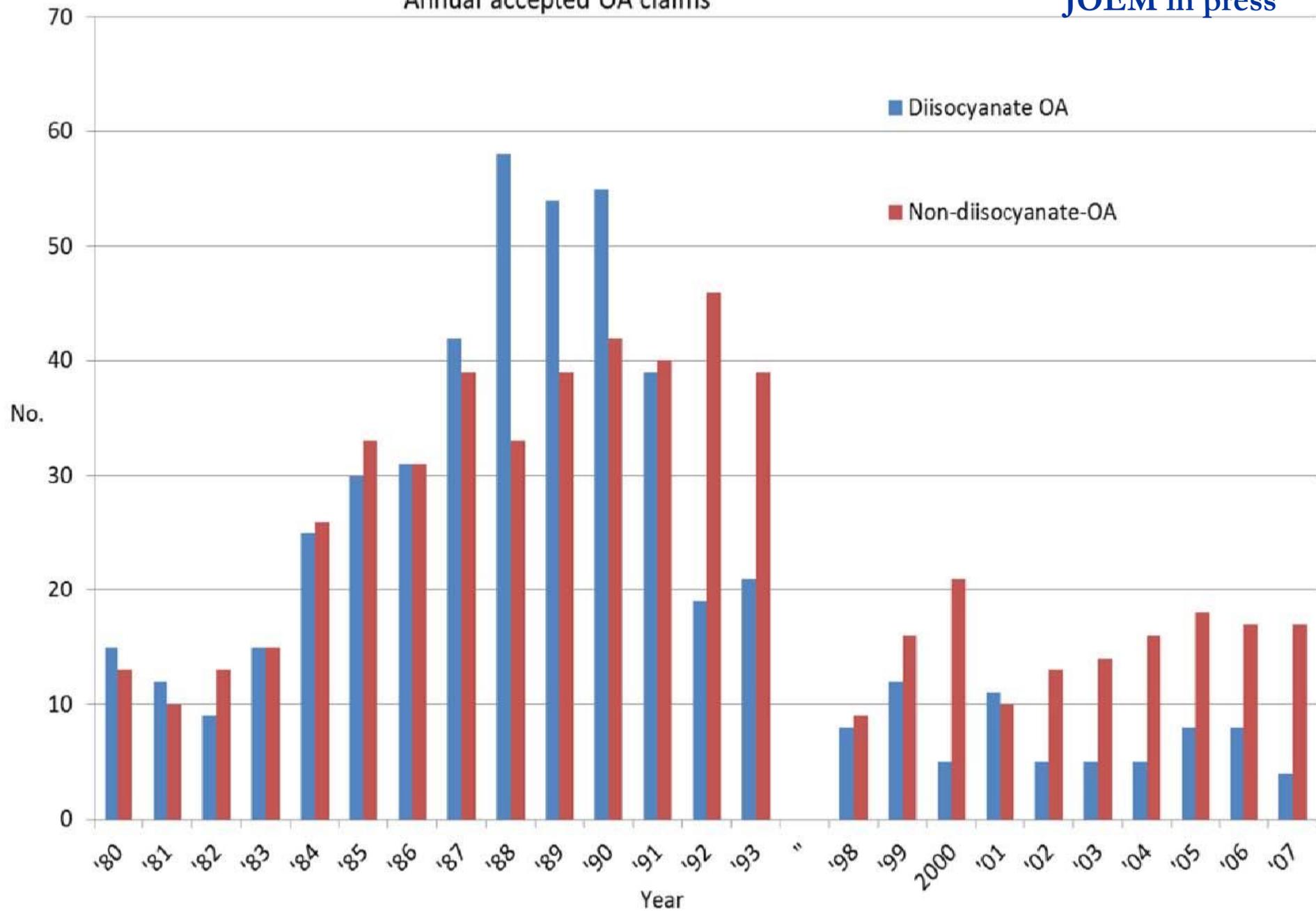
*Tertiary – optimal management of cases*

# Ontario accepted claims for diisocyanate-induced asthma and accepted asthma claims from other causes, by year of onset (OEM 2002)



◆ Diisocyanate OA    ■ Non-diisocyanate-OA





# Summary/Key Points

- Suspect occupational asthma (OA) in any worker with new-onset asthma
- Investigate early and thoroughly while the patient is still working
- If a diagnosis of OA is confirmed, avoid further exposure, treat asthma, consider the co-workers